

Practitioner's Docket No. NEB-241-PUS



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Morgan, Richard, et al.

Application No.: 10/593,790

Group No.: 1632

Filed: September 25, 2006

Examiner: not yet assigned

For: A Novel Type II Restriction Endonuclease, CspCI, Obtainable from Citrobacter Species 2144 and a Process for Producing the Same

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL OF SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
WITHIN THREE MONTHS OF FILING OR
BEFORE MAILING OF FIRST OFFICE ACTION (37 C.F.R. § 1.97(b))

IDENTIFICATION OF TIME OF FILING THE ACCOMPANYING
INFORMATION DISCLOSURE STATEMENT

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. 37 C.F.R. § 1.97(b).

CERTIFICATION UNDER 37 C.F.R. " 1.8(a) and 1.10*

(When using Express Mail, the Express Mail label number is *mandatory*;
Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

X deposited with the United States Postal Service in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

37 C.F.R. § 1.8(a)

X with sufficient postage as first class mail.

37 C.F.R. § 1.10*

G as "Express Mail Post Office to Addressee"

Mailing Label No. _____ (mandatory)

TRANSMISSION

G facsimile transmitted to the Patent and Trademark Office, (571) 273 - 8300.

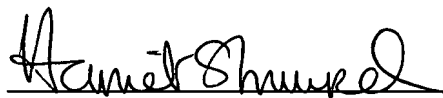
Signature

Date: May 14, 2007

Leslie Goldberg
(type or print name of person certifying)

* Only the date of filing (' 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under ' 1.8 continues to be taken into account in determining timeliness. See ' 1.703(f). Consider "Express Mail Post Office to Addressee" (' 1.10) or facsimile transmission (' 1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.

Date: May 14, 2007

A handwritten signature in black ink, appearing to read "Harriet Strimpel", written over a horizontal line.

Harriet M. Strimpel, D.Phil.

Registration No. 37,008

New England Biolabs, Inc.

240 County Road

Ipswich, MA 01938

978-380-7373

Customer No. 28986



Practitioner's Docket No. NEB-241-PUS

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Richard Morgan

Application No.: 10/593,790

Group No.: 1632

Filed: September 25, 2006

Examiner: not yet assigned

For: A Novel Type II Restriction Endonuclease, CspCI, Obtainable from Citrobacter Species 2144 and a Process for Producing the Same

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

List of Sections Forming Part of This Information Disclosure Statement

The following sections are being submitted for this Information Disclosure Statement:

1. Forms PTO/SB/08A and 08B (formerly Form PTO-1449)
2. Copies of Listed Information Items Accompanying This Statement
3. Identification of Person(s) Making This Information Disclosure Statement

CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10*

(When using Express Mail, the Express Mail label number is mandatory;
Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

☒ deposited with the United States Postal Service in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

37 C.F.R. § 1.8(a)

37 C.F.R. § 1.10*

☒ with sufficient postage as first class mail.

☐ as "Express Mail Post Office to Addressee"

Mailing Label No. _____ (mandatory)

TRANSMISSION

☐ facsimile transmitted to the Patent and Trademark Office, (571) 273 - 8300.

Date: May 14, 2007

Signature

Leslie Goldberg

(type or print name of person certifying)

* Only the date of filing (' 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under ' 1.8 continues to be taken into account in determining timeliness. See ' 1.703(f). Consider "Express Mail Post Office to Addressee" (' 1.10) or facsimile transmission (' 1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.

Section 1. Forms PTO/SB/08A and 08B (formerly Form PTO-1449)

(Insert form(s) PTO/SB/08A [Form 6-2] and/or form(s) PTO/SB/08B [Form 6-2.1].)



SECTION 2. FORMS PTO/SB/08A and 08B (formerly Form PTO-1449)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Morgan, et al.

Docket No.: NEB-241-PUS

Serial No: 10/593,790

Art Unit: 1632

Date Filed: September 25, 2006

Invention: A Novel Model Type II Restriction Endonuclease, CspCI, and the Use of Modular Endonucleases for Generating Endonucleases with New Specificities

**LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

OTHER DOCUMENTS			
Examiner Initials	Reference Number	Author	Title of Journal, Volume Number, Page Numbers, Date
	CG	Lubys, et al.	<i>Gene</i> , 141: 85-89 (1994)
	CH	Pingoud, et al.	<i>CMLS</i> , 62: 685-707 (2005)
	CI	Pingoud, et al.	<i>Nucleic Acids Research</i> , 29(18): 3705-3727
	CJ	"REBASE" [online]	URL: http://rebase.neb.com/rebase/rebase.html

Examiner Signature: _____

Date Considered: _____

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation *if not* in conformance and not considered. Include copy of this form with next communication to applicant.

Section 2. Copies of Listed Information Items Accompanying This Statement

Legible copies of all items listed in Forms PTO/SB/08A and 08B (substitute for Form PTO-1449) accompany this information statement.

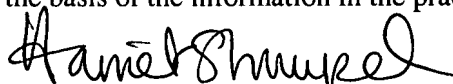
CG-CJ

Section 3. Identification of Person Making This Information Disclosure Statement

The person making this certification is:

- a. the practitioner who signs below on the basis of the information in the practitioner's file.

Date: May 14, 2007

A handwritten signature in cursive script, appearing to read "Harriet Strimpel", written over a horizontal line.

Harriet M. Strimpel, D.Phil.

Registration No. 37,008

New England Biolabs, Inc.

240 County Road

Ipswich, MA 01938

978-380-7373

Customer No. 28986